

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Joseph M. Asher and Howard W. Lutnick  
Application No.: 10/629,128 Confirmation No.:5903  
Filed : July 28, 2003  
For : SYSTEMS AND METHODS FOR AUTOMATED  
INTERNET-BASED AUCTIONS  
Group Art Unit : 3621

New York, New York  
July 12, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, V.A. 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith is a second Supplemental Information Disclosure Statement in the above-identified application. This Statement is submitted:

- ☐ [ ] within three months of the application filing date;
- ☒ [X] more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge

payment of any fees required in connection with this second Supplemental Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



Hassan Albakri  
(Limited Recognition)  
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I hereby certify that this  
Correspondence is being  
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Commissioner for Detents  
P.O. Box 1450  
Alexandria, VA 22313-1450 on

July 12, 2004

Claire J. Zivvy-Goodman

Signature of Person Signing

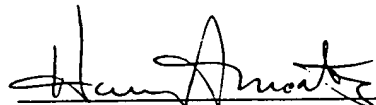
**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATE PATENT AND TRADEMARK OFFICE**

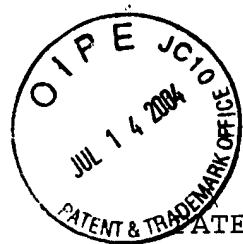
**LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

Hassan Albakri is hereby given limited recognition under 37 CFR § 10.9(b), as an employee of the law firm of Fish & Neave, to prepare and prosecute patent applications wherein the patent applicant is a client of the law firm of Fish & Neave, and a registered practitioner, who is a member of the law firm of Fish & Neave, is the practitioner of record in the applications. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Hassan Albakri ceases to lawfully reside in the United States, (ii) Hassan Albakri's employment with the law firm of Fish & Neave, ceases or is terminated, or (iii) Hassan Albakri ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such limited recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

**Expires: December 6, 2004**

  
\_\_\_\_\_  
Harry I. Moatz  
Director of Enrollment and Discipline



CF-84

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Commissioner for Patents  
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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following document:

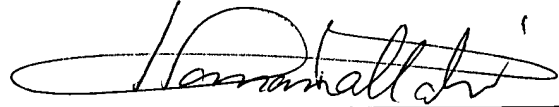
U.S. PATENT DOCUMENT

Nassiri 2002/0116320 A1 Aug. 22, 2002

A copy of the aforementioned document, which is listed  
on the accompanying Form PTO-1449 (submitted in duplicate), is  
enclosed herewith. It is respectfully requested that this  
document be (1) fully considered by the Patent and Trademark  
Office during examination of this application; and (2) printed on  
any patent which may issue on this application.

Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read 'Hassan Albakri', is written over a horizontal line.

Hassan Albakri  
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FORM PTO-1449  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO. CF-84	APPLICATION NO. 10/629,128
	APPLICANTS Joseph M. Asher and Howard W. Lutnick	CONFIRMATION NO. 5903
	FILING DATE July 28, 2003	GROUP 3621

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2002/0116320 A1	08/22/2002	Nassiri	705	37	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.